



اتحاد شركات الاستثمار
UNION OF INVESTMENT COMPANIES

المستشار المالي الآلي وفق متطلبات هيئة أسواق المال في الكويت

Within its continuous pursue to introduce the main training programs related investment companies work to Investment Studies Center (ISC) @ Union of Investment Companies (UIC), is delighted to invite you to a professional training program according to CMA regulations, as follows:

استمرارا من مركز دراسات الاستثمار لدى اتحاد شركات الاستثمار في تقديم البرامج التدريبية الأساسية لعمل شركات الاستثمار، يسرنا الإعلان عن تنظيم برنامج تدريبي مهني وفقاً لمتطلبات هيئة أسواق المال ودعوتكم للمشاركة فيه، وفقاً للتفاصيل الآتية:

Training Program: المستشار المالي الآلي وفق متطلبات هيئة أسواق المال في الكويت البرنامج التدريبي:

Instructor: Amr Hafez & Mohammad A. Safsouf المحاضر:

Dates: Thursday, 02nd May 2024 التاريخ:

Timing: 09:30AM - 02:30PM الوقت:

Language: Arabic لغة البرنامج:

Venue: In person @ UIC premises المكان:

Course Details, Registration & Fees in link below تفاصيل البرنامج، التسجيل والرسوم في الرابط أدناه

<http://unioninvest.org/upcomingevents.aspx>

Registration is open according to availability

Discounted Fees for UIC Members الرسوم بعد الخصم أعضاء الاتحاد KWD 95

Non-Members غير أعضاء الاتحاد KWD 120

المستشار المالي الآلي وفق متطلبات هيئة أسواق المال في الكويت

مجاور البرنامج

الدرس الأول: مقدمة عن المستشار المالي الآلي

- أ. نطاق التطبيق
- ب. متطلبات الترخيص والتسجيل وتأهيل العملاء
 - أحكام عامة
 - ضوابط مزاوله خدمة المستشار المالي الآلي
 - متطلبات التسجيل في سجل خدمات التقنيات المالية لتقديم خدمة مستشار الاستثمار الآلي
 - تأهيل العملاء

الدرس الثاني: الضوابط والحوكمة

- أ. حوكمة الخوارزميات
- ب. حوكمة التكنولوجيا
- ت. الضوابط الداخلية

الدرس الثالث: الإفصاحات

- أ. الإفصاح الخاص بالخوارزميات
- ب. تعارض المصالح

الدرس الرابع: الإعلان والتسويق

- أ. طبيعة الإعلان
- ب. آلية الإعلان

الدرس الخامس: الالتزامات تجاه الهيئة

- أ. التقرير السنوي
- ب. البيانات المالية السنوية المدققة
- ت. قواعد العمل واللوائح والسياسات الداخلية ومصفوفة الاعتمادات

الدرس السادس: النماذج والملاحق ذات الصلة

- أ. نموذج رقم 1 مستشار الاستثمار - طلب ترخيص خاص لمقدمي خدمة الاستشارات الاستثمارية الآلية
- ب. المؤهلات العلمية والمهنية والخبرات العملية للمشرف المسؤول عن خدمة المستشار الاستثمار الآلي

الدرس السابع: أبرز الأخبار والمنشورات والتطورات المتعلقة بالمستشار المالي الآلي

الفئة المستهدفة

- موظفي ومدراء تطوير الأعمال، وتكنولوجيا المعلومات، وإدارة الثروات، وعلاقات العملاء

Expert's Profile: Amr M. Hafez

Senior Legal adviser of Kuwait Financial Centre (Markaz), where handles all cases filed by or against Markaz, provide legal advice to various departments in Markaz with regard to:

Custody Contracts (discretionary – non discretionary) – Portfolio agreements – Pledge Contracts – Real Estate Contracts which belongs to (Markaz Real Estate Fund & Kuwait National Portfolio) inside and outside Kuwait , Draft and revise Disposal Contracts , Legal Due Diligences – establishing various companies (individuals and shareholding) , Loan Contracts – Respond to inquiries of the (C.B.K- CMA - C.C.K- K.S.E) – The legal issues of public associations companies either ordinary or extraordinary – investment fund.

- Led the preliminary negotiations for the mergers of 3 international companies and contract finalization.
- Led the negotiations against the Egyptian Government on behalf of Coca Cola International Co. for the acquisition of Al Nasr Co. for bottling which owns 14 bottling factories and Al Maragel Co. In addition, I was responsible for drafting the final agreements.
- Re-drafting the Franchise agreements of Exxon Mobile Co. and Total Co. with respect to the construction of Gas stations.
- Developed a comprehensive legal feasibility study to opine on the sale of The Egyptian Institute of Vaccines (VACSERA) and the Egyptian Eastern Co. by the Egyptian Government.
- Participated in evaluating various governmental companies in Egypt in connection with the privatization process and drafting related reports which was provided to the Egyptian Authorities.
- Prepared comprehensive legal study to assess the feasibility of proposed sale of Eastern Company S.A.E in Egypt by the Egyptian government and completed a proposal to restructure the company's legal status. The government adopted the proposition and proceeded with the necessary steps to complete the restructuring process.
- The Egyptian Government representation in many internal Arbitration disputes concerning disputes with foreign companies operating in Egypt.
- Legal Adviser for the Kuwaiti economic society.
- A key member of the Committee responsible for drafting executive regulations for Public Private Partnership (PPP) law in Kuwait.
- Member of the legal Committee of the Union of investment companies (UIC).
- Speaker at conferences and workshops in Kuwait Specialized in economic-related laws.



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Expert's Profile: Mohammad A. Safsouf, MA, CAMS, PhD Candidate

Qualifications:

- Certified Anti-Money Laundry Specialist from the ACAMS
- Masters in Anti-Money Laundry with distinction from Makassed University
- PhD Candidate in Counter terrorism financing at Makassed University expected year of graduation 2024.
- Attorney at Law and Legal Counsel enrolled at the Beirut Bar Association since the Year 2011
- Public Speaker in AML and Compliance matters at several Banks and Institutions
- Holds a bachelor's in business from the (LAU) Lebanese American University having Emphasis Management
- Holds an LLB in Law from the (BAU) Beirut Arab University
- Holds the higher Bachelor in Shariah Law from (BIU) Beirut Islamic University
- Certified Governance, Risk, Compliance, and Audit Professional (GRCP) & GRCA from OCEG
- Delegated from the Lebanese Upper Shariah Council to deliver lectures for public matters.
- Graduated from the Lawyers Center for studies year 2012
- Obtained Internal English Legal Certificate from Cambridge University
- Hold an Internal Investment and Securities certificate from the CISI
- Holds Kuwait CMA rules and Regulations Certificate from the CISI
- Holds Lebanese Rules and Regulations Certificate from the CISI
- Certified Corporate director from the IFC which is a group of the world bank.

Experience:

- Having around 15 years of Experience in Legal, AML and Compliance in the Banking and Finance Industry heading several Compliance and AML Departments
- Present work as Vice President at the Fund Admin and Corporate affairs at Markaz
- Head of Legal and Compliance at Arab finance House (Subsidiary to QIB)
- Provided Several workshops and training sessions in Laws, AML and compliance matters to several institutions

Added value:

Coming from Business and legal background supported with solid and extensive experience in AML and Compliance and Risk at the banking sector will certainly in a timely manner enhance, educate, and refresh the bank employee's knowledge, driving them from theory to practical work throughout an amazing, exciting session!