"CISI Risk in Financial Services"

النسخة العربية: هذه الشهادة معتمدة لدى CISI باللغة الإنجليزية فقط حتى الآن

ENGLISH SESSIONS: Sunday to Thursday 14 to 18 March 2021, 01:00 PM – 04:00PM

Within its responsibility against the investment sector and licensed persons by The Capital Markets Authority (CMA), We at Union of Investment Companies (UIC) are delighted to announce that it UIC has got the approval of CMA to deliver the specialized training programs through it online training portal, especially the CISI training programs. In this context, we would like to invite you to participate in the training program:

Training: CISI Risk in Financial Services

Instructor: Mr. Karim Mansour

Language: English Only (According to CISI till date)

Training Period: 15 hours (As shown above)

Training Facility: through the online training portal of UIC:
https://unioninvestlearning.org/

Details of CISI Test:

Number of Questions: 100 questions (Multiple Choice)

Passing Mark: 70 degrees

Passing the test: The trainee will need to take the test at the CISI testing center. UIC can help the trainee in booking the exam. The student will have to pay the cost of the exam (GBP 331). If the student fails, he/she can re-take the exam three times in one year.

في إطار المسؤولية المنوطة باتحاد شركات الاستثمار تجاه قطاع الاستثمار والأشخاص المرخص لهم لدى هيئة أسواق المال، يسعدنا أن نعلن بأن الاتحاد قد حصل على موافقة الهيئة لتقديم البرامج المتخصصة عن طريق منصته الإلكترونية بطريقة التعليم عن بُعد، وأهمها البرامج والشهادات المعتمدة من CISI، وفي هذا الإطار يسرنا دعوتكم للمشاركة في البرنامج التدريبي:

اسم البرنامج: CISI Risk in Financial Services

المحاضر: السيد/كريم منصور

اللغة: الإنجليزية فقط (بناء على اعتماد CISI حتى الآن)

مدة التدريب: 15 ساعة (توزيعها كما هو موضح أعلاه)

طريقة التدريب: من خلال المنصة الإلكترونية للاتحاد:
https://unioninvestlearning.org/

لمن يرغب في خوض الاختبار التأهيلي للبرنامج:

عدد أسئلة الاختبار: 100 سؤال بطريقة الاختيار

درجة النجاح: 70 درجة

اجتياز الاختبار: يُقدم الاختبار في أحد المراكز المعتمدة للاختبارات من قبل CISI، ويمكن للاتحاد المساعدة في حجز الاختبار وتقع تكلفته على المتدرب (331 إسترليني).

في حال عدم اجتياز الاختبار، يمكن إعادته ثلاث مرات في السنة.

Course Details, Registration & Fees — تفاصيل البرنامج، التسجيل والرسوم

http://unioninvest.org/upcomingevents.aspx

RSVP before 15/02/2021

Please specify the following information in the field of "Remarks" in the end of registration:

Is the participant willing to do the test or not

يرجى تحديد المعلومات الآتية في حقل "Remarks" في نهاية استمارة التسجيل:

- مدى رغبة المشارك في خوض الاختبار من عدمه

UIC Members	KD 140	140 د.ك.	أعضاء الاتحاد
Non-Members	KD 160	160 د.ك.	غير أعضاء الاتحاد

Discount: 20% For more than five delegates	خصم: 20% عند تسجيل أكثر من خمسة مشاركين
Participation fees do NOT include examination fees	رسوم المشاركة لا تشمل رسوم الإختبار

"CISI Risk in Financial Services"	
Outline	**محاور البرنامج**
Lesson 1 – Principles of Risk Management 1. Introduction to Risk in Business 2. Specific Risks in Financial Services 3. Emerging Considerations for the Financial Services Sector **Lesson 2 – International Risk Regulation** 1. The Bank for International Settlements (BIS) 2. Basel Regulatory Capital 3. Principles-Based Regulation 4. Other Relevant Regulation **Lesson 3 – Operational Risk** 1. Definition of Operational Risk 2. Operational Risk Policy 3. Operational Risk Framework 4. Operational Risk Identification 5. Operational Risk Assessment and Measurement 6. Managing Operational Risk **Lesson 4 – Credit Risk** 1. Identification of Credit Risk 87 2. Credit Risk Measurement 90 3. Credit Risk Management	هذه الشهادة معتمدة لدى CISI باللغة الإنجليزية فقط حتى الآن

"CISI Risk in Financial Services"

Outline	محاور البرنامج
Lesson 5 – Market Risk 1. Identification of Market Risk 2. Market Risk Management **Lesson 6 – Investment Risk** 1. The Measurement of Investment Returns 2. Identification, Measurement and Management of Investment Risk **Lesson 7 – Liquidity Risk** 1. Identification of Liquidity Risk 2. Measurement of Liquidity Risk 3. Management of Liquidity Risk **Lesson 8 – Model Risk** 1. Overview of Model Risk 2. Effective Governance of Risk Modelling **Lesson 9 – Risk Oversight and Corporate Governance** 1. Risk Governance within Financial Services Organisations 2. Risk Culture and Leadership **Lesson 10 – Enterprise Risk Management (ERM)** 1. Overview of Enterprise Risk Management (ERM)	

"قوانين ولوائح هيئة أسواق المال بدولة الكويت – المعتمدة من معهد CISI"

"CISI - Kuwait Capital Markets Authority Rules and Regulations"

Outline	محاور البرنامج
11.3 Anti-Money Laundering and Combating Financing of Terrorism	ح. الالتزامات المستمرة
11.4 Client Records	**الدرس الثاني عشر: حوكمة الشركات**
11.5 Suspicious Transactions Reporting	أ. دور حوكمة الشركات
11.6 Continuing Obligations	ب. المتطلبات الرقابية
Lesson 12 – Corporate Governance	ت. بناء هيكل متوازن لمجلس الإدارة
12.1 Corporate Governance Role	ث. التحديد السليم للمهام والمسؤوليات
12.2 Regulatory Requirements	ج. ضمان نزاهة التقارير المالية
12.3 Constructing a Balanced Board	ح. النظم السليمة لإدارة المخاطر والرقابة الداخلية
12.4 Appropriate Roles and Responsibilities	خ. القيم الأخلاقية
12.5 Safeguarding the Integrity of Financial Reporting	د. الإفصاح والشفافية
12.6 Sound Systems of Risk Management and Internal Audit	ذ. أصحاب المصالح
12.7 Ethical Standards	
12.8 Disclosure and Transparency	
12.9 Stakeholders	

TADAWUL ACADEMY أكاديمية التداول

CISI CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT ACCREDITED TRAINING PARTNER

COMPANY PROFILE

CONTACT

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Website: www.Tadawul.Academy

CISI
CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT

This is to certify that

Tadawul Academy

has been approved as a

CISI
CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT

ACCREDITED TRAINING PARTNER
2020-21

for the period

1 January 2020 to 31 December 2020

SIMON CULHANE, CHARTERED FCSI
CHIEF EXECUTIVE, CISI

JOHN PRESTON
CHIEF OPERATING OFFICER, CISI

20 Fenchurch Street, London EC3M 3BY

cisi.org

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TRAINING PORTFOLIO

QUALIFICATIONS & PROGRAMMES

THE LEADING BILINGUAL TRAINING INSTITUTE IN CAPITAL MARKETS, ISLAMIC FINANCE AND RISK & COMPLIANCE

Designed by financial management professionals, our programmes ensure a comprehensive coverage of all aspects of Finance and Investment, tailored for the region with exposure to the global markets.

Through the use of practical case examples and hands-on workshops, “real-life” case studies are used extensively to ensure your skills make the transition from theory to practice.

PROGRAMMES THAT WE OFFER

CHARTERED INSTITUTE FOR SECURITIES & INVESTMENTS

- - -

CISI offers practitioners the opportunity to achieve competence and foster strong careers in all areas of financial services.

ISLAMIC FINANCE

- - -

Our programmes are specifically developed to cover Islamic Banking, Islamic Investing, and Islamic Insurance.

WEALTH MANAGEMENT

- - -

Our Wealth Management courses provide specialist knowledge to advise clients in local as well as global jurisdictions.

CAPITAL MARKETS

- - -

We cover all markets, from securities, derivatives, bonds, fund management, to stock trading and investing.

RISK & COMPLIANCE

- - -

Financial compliance, financial crime, governance and risk management or regulation and compliance.

TAILOR-MADE PROGRAMMES

- - -

We will help you define what you require, and then tailor a specific innovative solution that truly fits your needs.

PROGRAMME FACILITATORS

TRAINER BIOGRAPHIES

KARIM MANSOUR

CAPITAL MARKETS - WEALTH MANAGEMENT - ISLAMIC FINANCE

Karim is the founder and CEO of Tadawul Academy – CISI's first bilingual training partner in the region.

With a background spanning over 13 years in finance, Karim brings a wealth of knowledge and expertise into his training classes. His career covers different countries in Middle East and Africa across different functions mainly as Chief Training Director and CFO.

A recognized voice across the banking sector in the GCC, Karim's banking analyses have been published by major finance houses in the UAE. He's mostly recognized for the Banker Middle East 100 publication which he authored in 2013/14/15.

Since 2015, Karim has been the official training partner of Nasdaq Dubai in the Middle East.

TRAINER'S BIO

EDUCATIONAL BACKGROUND

Accredited CISI Training Provider in:
- Securities & Investments
- Islamic Finance
- Wealth Management
- Risk & Compliance

Doctorate Researcher in Emerging Markets Investments

Masters Degree in Business Research

ACCA Fellow Chartered Accountant

PUBLICATIONS
Banker Middle East 100:
An annual publication that provides strategic insight into the performace of banks in the Middle East through data analysis.

Training Provider for:
Nasdaq, Mashreq Bank, Emirates Islamic, Ajman Bank, QNB, CMA Kuwait, NBAD, Mubasher, Al Ramz Capital, Menacorp and many more.

PROFESSIONAL BACKGROUND

Director & Chief Trainer - Tadawul Academy FZE
Accredited CISI Training Partner
Designed and delivered customized training programmes in partnership with major financial institutions such as Nasdaq.
Launched world's first bi-lingual CISI training website - TadawulExams.com

CFO & Finance Director at various companies in the Middle East and Africa

LIST OF COURSES DELIVERED

CISI
IISI, ICWIM, Derivatives, Global Securities, Risk in Financial Services, Islamic Finance, Global Financial Compliance

SECURITIES & INVESTMENTS
Equity Derivatives, Investing in ETFs and Funds, Central Counterparties, Fundamental of Capital Markets, Repos and Securities Lending

PATRICK O'NEIL

ISLAMIC FINANCE - WEALTH MANAGEMENT

Patrick O'Neil is an experienced Islamic Finance speaker and seasoned practitioner in the field. He played a key role in establishing Simply Shariah Ltd as a leader in the UK of Islamic financial planning solutions and Shariah compliant investment portfolios. In the UAE, he has successfully, pioneered the opening of an Islamic window at Holborn Assets.
As an Islamic Finance educator, Patrick's training emphasizes on the principles, benefits and ethics of an Islamic financial system.
His articles "What's Wrong with the Current Financial System" and "Principles of an Islamic Financial System" have been published by ISFIRE – the Islamic Finance Review magazine and Business Islamica.
Patrick holds the CII Advanced Financial Planning Qualification and CISI Islamic Finance Qualification.

TRAINER'S BIO

EDUCATIONAL BACKGROUND

PUBLICATIONS
•**What's Wrong with the Current Financial System:** Published in Nov 2014, by Islamic Finance Review - ISFIRE. Republished by Business Islamica.

•**Principles of an Islamic Financial System:** Published in Mar 2015, by Islamic Finance Review - ISFIRE. Republished by Business Islamica.

Awarded by the Chartered Institute for Securities and Investment (CISI):
•Fundamentals of Islamic Banking & Finance
•Islamic Finance Qualification

Awarded by the Chartered Insurance Institute (CII):
Advanced Financial Planning Certificate in:
•G10 Taxation & Trusts (Awarded a Distinction)
•G60 Pensions
•G20 Personal Investment Planning
•G70 Investment Portfolio Construction

Financial Planning Certificates: FP1, FP2 & FP3.

Bachelor of Business Studies
(2:1), University of Limerick, Ireland

PROFESSIONAL BACKGROUND

Islamic Finance Trainer
•Tadawul Academy: Islamic Finance, Wealth Management, Sukuk, and Takaful.
•Al Huda CIBE: Introduction to Islamic Finance & Sukuk course.

Head of Islamic Wealth, Holborn Assets, UAE
•Responsible for creating an Islamic Window incorporating holistic wealth management and financial planning advice using best of breed Takaful solutions and sharia compliant investments.
•Founder of the Islamic Financial Education Initiative which aims to make Islamic financial knowledge more accessible to all.

Consultant & Independent Financial Adviser, Simply Ethical, UK
•Co-hosted wealth seminars with Emirates NBD Bank which have been instrumental in creating new business opportunities.
•Supervision and training of new advisers.

Principal & Independent Financial Adviser, Wealth Focus, UK
•Directly regulated by the FCA.

Independent Financial Adviser, Positive Solutions, UK

JAAFAR BADWAN

INVESTMENT MANAGEMENT - RISK & COMPLIANCE

As Chairman of Sukuk Committee in Bahrain Islamic Bank and Director of Treasury and Capital Markets in Al Khair Islamic Bank, Dr. Jaafar Badwan brings a wealth of knowledge and expertise in the banking, finance and investments sectors.

With a career spanning since the late 1980s, Dr. Jaafar served as Senior Investment Manager in the UAE Central Bank and Senior Director in major financial institutions such as the National Bank of Abu Dhabi and Abu Dhabi Investment Co. For the past 15 years, he has also served on several major investment committees across the Middle East region, including the UAE, Kuwait and Bahrain.

Dr. Jaafar has delivered hundreds of training sessions in Islamic Finance, Corporate and Retail Banking, Portfolio and Risk Management, and Financial Services Operations.

TRAINER'S BIO

EDUCATIONAL BACKGROUND

PUBLICATIONS
• Is the world at the door step of crisis (Dec. 2007)
• The new economic cycle: the correlation between growth and private sector.
• How Central Banks should manage their foreign currency reserve?
• Toward a developed UAE financial market
• Mutual funds & infrastructure projects
• Challenges facing GCC countries with regards to their foreign investment policies.

• **PBUK, UK**
Doctorate in Business Administration

• **University of Connecticut, USA**
Master Degree in Economics

• **Securities and Futures Authority, UK**
Professional Banker

PROFESSIONAL BACKGROUND

Islamic Finance Trainer
• Tadawul Academy: Islamic Finance, Wealth Management, Sukuk and Takaful.

General Manager - Treasury & Investment Division, Bahrain Islamic Bank
• Established Islamic private equity, M&A, Portfolio management, Debt capital market departments.
• Restructured the Treasury Department. Established policies and procedures including system evaluation for the division.

Managing Director - Treasury and Capital Markets, Al Khair Islamic Bank
• Established Islamic treasury and debt capital market, built structuring platforms to service corporate borrowers in the GCC.
• Evaluated different VC and PE projects/ investments, including Islamic risk and financial assessments and negotiated Sukuk issues.

Senior Investment Manager, UAE Central Bank
• Managed the Central Bank foreign currency reserve in international financial markets.
•Revamped the Central Bank CDs issuing program.
•Developed Bank's positions on direction of the global economy.

TRAINING METHODOLOGY

STUDENT-FOCUSED RESULT-ORIENTED

TRAINING ASSESSMENT AND IMPLEMENTATION

TRAINING ASSESSMENT

We recognize that a training need arises when there is a gap between “what is” and “what should be” in terms of knowledge, skills, attitudes, and behaviour. This gap is called “a problem,” which usually occurs when a difference exists between “desired performance” and “actual performance.”

A training needs assessment (TNA) is utilized to help plan an effective training program. Through a TNA, Tadawul Academy evaluates the participants’ level of prior knowledge, as well as previous training and experience, in the area of interest. The results of this assessment are used to develop the training learning objectives, and ultimately, the content.

Organizational analysis determines where training emphasis should be placed within the organization and is based on the objectives of an organization. The following four steps are involved:

1. Stating the goals and objectives of the organization
2. Analysing the human resources
3. Analysing efficiency indices
4. Analysing the organizational climate

Individual analysis aims at identifying specific training needs for an individual or group of students so that training can be tailored to their needs. This analysis centers on individuals and their specific needs concerning the skills, knowledge, or attitudes they must develop to perform their assigned tasks. The possible methods or techniques for individual analysis include performance appraisal, interviews, questionnaires, tests, analysis of behaviour, informal talks, checklist, counseling, critical incidents, recording, surveys, and observations.

PROGRAMME IMPLEMENTATION

Tadawul Academy follows two approaches when implementing a training program: one is centered on the trainer, who controls learning contents and experiences; the other is centered on the learner, with the trainer acting as a guide and providing resources.

The learner-centred approach assumes that people are able and willing to learn if they are given the proper materials in an atmosphere that is conducive to learning. We prefer this method because it is participatory, learners’ experiences are shared, and participants have more freedom to learn at their own speed.

A training programme has a better chance of success when its training methods are carefully selected. Selecting an appropriate training method is perhaps the most important step in training activity once the training contents are identified. Tadawul Academy uses a variety of learning experiences in training, which are outlined in the table next page.

COMPARISON OF OUR TRAINING METHODS

Methods	Useful For	Advantages	Trainer's Role
Lecture	• Passing on information and facts • Giving specific information related to occupation, job, or task	• Allows much material to be delivered in a short time • Handles a large number of participants • Permits lecturer to be in full control	• Provide information • Answer questions
Discussion	• Stimulating interest and thought • Generating possible solutions to problems • Consolidating other types of learning • Developing consensus	• Stimulates learners' interest • Involves learners actively • Allows sharing of learners' experiences with others	• Establish small groups early in course • Help groups select moderators and rapporteur • Clearly specify tasks for each group • Assign time limits for each task and enforce them
Case Study	• Solving problems • Changing attitudes • Building analytical skills	• Involves learners actively • Allows sharing of learners' experiences with others • Stimulates ideas and discussions of concrete subject	• Carefully prepare case and relevant material • Ask provocative questions to provide key issues for discussion • Guide discussion to achieve analysis, possible solution, recommendations for action
Role-playing	• Developing interactive knowledge and modifying attitudes • Introducing humour and liveliness	• Stimulates interest • Active and Fund • Uses participants' experiences	• Choose a suitable story to illustrate key points • Debrief (discuss insights)
Group Exercise	• Team building • Developing interactive skills • Studying group dynamics	• Facilitates high participation of motivated learners	• Prepare carefully to ensure that everything is organized
Brainstorming	• Stimulating creative thinking • Generating possible solutions • Consolidating past learning	• Promotes active participation of learners • Uses learners' experiences and ideas	• Record suggestions • Reorganize into groups • Lead discussion at end
Demonstration	• Showing correct procedures and required standards	• Stimulates a lot of interest • Can be used for large groups	• Arrange for demonstration materials in advance • Do demonstration alone to ensure that everything works • Correct mistakes promptly • Encourage slow learners
E-Learning	• Virtual Classroom with daily lectures • Passing on information and facts • Showing correct procedures • Working out quantitative exercises requiring calculations	• Allows materials to be passed on quickly • Allows participants to study at their own locations, at their own pace • Allows for communication between participants	• Provide backup support for tutoring, coaching through various means, including telephone, and online chat room.

MAJOR CLIENTS

بنك أبوظبي التجاري
ADCB

mashreq المشرق

Emirates NBD

Kuwait Clearing Company K.S.C

الإمارات الإسلامي
EMIRATES ISLAMIC

HSBC

بنك دبي الإسلامي
Dubai Islamic Bank

ADIB مصرف أبوظبي الإسلامي

إتحاد هيئات الأوراق المالية العربية
UNION OF ARAB SECURITIES AUTHORITIES

NasdaqDubai

ADX سوق أبوظبي للأوراق المالية
ABU DHABI SECURITIES EXCHANGE

مصرف عجمان
Ajman Bank

PREMIUM LEARNING PORTAL

WORLD'S LARGEST ONLINE RESOURCE FOR CISI EXAMS

WWW.TADAWULEXAMS.COM

ADVANCED CLOUD-BASED LEARNING AND EXAMS PORTAL

VIDEO LECTURES

-

Hundreds of hours of recorded video lectures are available for viewing 24/7. Lectures are comprehensive, clear and in plain English.

MOCK EXAMS

-

Thousands of exam questions that cover every aspect of the curriculum, including online time-restricted exam simulations.

TRAINER INTERACTION

-

Students interact directly with trainers on the portal through the built-in messaging system or through the “Ask the Trainer” forums.

DETAILED REPORTING

-

Everything is tracked, from student login times to activities viewed to exam scores. Detailed reporting can be accessed at anytime.

TADAWUL ACADEMY أكاديمية التداول

CISI CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT ACCREDITED TRAINING PARTNER

THANK YOU FOR YOUR BUSINESS

END OF PROFILE

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